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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/562,804	05/19/2008	Thomas Pullen	66969-0004	6079
84362	7590	03/30/2010		
GKN Driveline/ITG c/o Kristin L. Murphy 39533 Woodward Avenue, suite 140 Bloomfield Hills, MI 48304			EXAMINER SAAD, ERIN BARRY	
			ART UNIT	PAPER NUMBER
			1793	
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			03/30/2010	PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

# Office Action Summary

## Application No.

10/562,804

## Applicant(s)

PULLEN ET AL.

## Examiner

ERIN B. SAAD

## Art Unit

1793

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 23 February 2010.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1 and 19-31 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1, 19-31 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 29 December 2005 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB-06)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

**DETAILED ACTION**

***Specification***

1. The amendments to the Specification have been accepted.

***Claim Rejections - 35 USC § 112***

2. Claim 30 recites the limitation "the balancing of the hollow shaft" in lines 1-2. There is insufficient antecedent basis for this limitation in the claim. There is no previous claim limitation that states the process of balancing the hollow shaft.

***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 1, 19-27 and 31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lurenbaum (DE725619 from IDS filed on 12/29/2005) in view of Damsohn (WO 2005/092562 using 2008/0128474 as a translation).

Regarding claim 1, Lurenbaum discloses process for fixing a balancing weight on at least one location on a hollow shaft by soldering (lines 1-35).

Lurenbaum does not specifically disclose that the solder is a solder foil. However, Damsohn discloses using solder foils for soldering parts (abstract). To one

skilled in the art at the time of the invention it would have been obvious to use a solder foil for soldering as this is a well known soldering material.

The recitation "for torque transmission at rotational speeds in the range of 3000-12000 rpm in a drive system for a vehicle" is intended use. During examination, statements in the preamble reciting the purpose or intended use of the claimed invention must be evaluated to determine whether the recited purpose or intended use results in a structural difference (or, in the case of process claims, manipulative difference) between the claimed invention and the prior art. If so, the recitation serves to limit the claim. See, e.g., *In re Otto*, 312 F.2d 937, 938, 136 USPQ 458, 459 (CCPA 1963) (MPEP 2111.02).

Regarding claim 19, Lurenbaum discloses that the balancing weight may be attached by soldering (lines 1-35). Since solder is known as "soft solder", it is the Examiner's position that the solder of Lurenbaum is a soft solder.

Regarding claim 20, Lurenbaum discloses that the balancing weight may be attached by soldering (lines 1-35). Since soldering is completed at temperatures below 450 C, it is the Examiner's position that the soldering of the balancing weights of Lurenbaum would be completed at a temperature lower than 450 C.

Regarding claim 21, since Lurenbaum does not disclose using flux, it is the Examiner's position that flux is not used in the soldering of the balancing weights.

Regarding claims 22-24, Lurenbaum does not specifically disclose that the soldering step at the at least one location is no longer than 3 seconds. However, taken in its broadest reasonable interpretation, the "soldering step" is taken to be the

instantaneous moment when the balancing weight is joined to the shaft. It is the Examiner's position that the soldering step of Lurenbaum is not longer than 3 seconds.

Regarding claim 27, Lurenbaum does not specifically disclose that the balancing weight is first provided with solder material and, thereafter, fixed to the hollow shaft. However, it would necessarily flow that the solder material would be provided to the balancing weight before being fixed to the hollow shaft, otherwise there would be no soldering occurring between the balancing weight and the shaft.

Regarding claim 31, Lurenbaum discloses a process for fixing a balancing weight on at least one location on a hollow shaft by soldering (lines 1-35). Lurenbaum does not disclose brazing. However, brazing is an obvious variant to soldering. Soldering and brazing of the balancing weight to the shaft would provide similar results.

The recitation "for torque transmission at rotational speeds in the range of 3000-12000 rpm in a drive system for a vehicle" is intended use. During examination, statements in the preamble reciting the purpose or intended use of the claimed invention must be evaluated to determine whether the recited purpose or intended use results in a structural difference (or, in the case of process claims, manipulative difference) between the claimed invention and the prior art. If so, the recitation serves to limit the claim. See, e.g., *In re Otto*, 312 F.2d 937, 938, 136 USPQ 458, 459 (CCPA 1963) (MPEP 2111.02).

6. Claim 29 is rejected under 35 U.S.C. 103(a) as being unpatentable over Lurenbaum (DE725619 from IDS filed on 12/29/2005) and Damsohn (WO 2005/092562

using 2008/0128474 as a translation) as applied to claim 1 above, and further in view of Porter et al. (2,914,642).

Regarding claim 29, Lurenbaum does not specifically disclose that the heat sources used for the soldering step are either inductor or convector heaters. However, Porter discloses using an induction heater for soldering components (column 1 lines 21-45). To one skilled in the art at the time of the invention it would have been obvious to use an induction heater for soldering because Porter discloses that the induction heater reduces oxides on the faces of the surfaces being joined to promote an effective union (column 1 lines 21-30).

7. Claims 28 and 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lurenbaum (DE725619 from IDS filed on 12/29/2005) and Damsohn (WO 2005/092562 using 2008/0128474 as a translation) as applied to claims 1 and 27 above, and further in view of Myers (6,811,633).

Regarding claim 28, Lurenbaum does not disclose that a plurality of balancing weights is fixed, and at least in some cases, different quantities of solder material are provided at the balancing weights. Lurenbaum discloses soldering a balancing weight to shaft. Myers discloses that a plurality of balancing weights may be added to the shaft. Myers also discloses that the sizes of balancing weights can be varied (column 5 line 65- column 5 line 4 and column 6 line 27-30). To one skilled in the art at the time of the invention it would have been obvious to use multiple balancing weights because Myers discloses that it provides different amounts of weight for facilitating the balancing

process and for stress control (column 5 line 65- column 5 line 4 and column 6 line 27-30). Myers does not disclose using different amount of solder/joining material on the balancing weights. However, to one skilled in the art at the time of the invention it would have been obvious to use different amounts of solder depending on the size of the balancing weights. The bigger the weight, the more solder would need to be used to ensure a proper bond between the weight and the shaft.

Regarding claim 30, Lurenbaum does not disclose that the balancing of the hollow shaft and the soldering of the at least one balancing weight are carried out on a single machine. However, Myers discloses balancing a hollow shaft and joining of balancing weights using a single machine. Myers discloses that the shaft is placed on a balancing machine. After balancing, the weights are joined to the surface. Myers discloses that the shaft is then re-balanced. After re-balancing the shaft is removed from the machine. Myers does not specifically state that the weights are joined to the shaft while on the balancing machine. However, it is the Examiner's position that the shaft is still on the balancing machine because Myers does not disclose removing the shaft from the machine until after it is re-balanced (after joining of the weights) (column 4 lines 28-48, column 6 lines 11-30). To one skilled in the art at the time of the invention it would have been obvious to use the balancing-joining method of Myers with the soldering method of Lurenbaum to ensure that the balancing weights are placed at the correct locations during soldering.

***Response to Arguments***

8. Applicant's arguments filed 2/23/2010 have been fully considered but they are not persuasive.
9. The Applicant argues that Reinhardt does not teach the recitations found in independent claim 1.

The Prior Art, Reinhardt, has been removed due to the new amendment made to the claim.

10. The Applicant argues that Lurenbaum (Zeichnungen) does not teach "securing the at least one balancing weight to the at least one location by soldering, wherein a solder for the soldering is applied as a foil".

The Examiner agrees that Lurenbaum does not disclose using a foil. However, a new rejection above discloses a secondary reference, Damsohn, that discloses using a solder foil to solder two parts together.

11. The Applicant argues that Lurenbaum (Zeichnungen) discloses that gluing balancing sheets on the shaft achieves a higher fatigue strength in the adhesion joint than soft solder can reach.

Lurenbaum may teach that gluing has a higher fatigue strength than soldering; however, Lurenbaum also discloses (through translation) that "balancing such parts (shafts) imbalance masses are welded on in the form of sheet metals on the body which can be balanced or soldered. One can obtain also a compound of the automatic sheet metals with the body which can be balanced by gluing on...". By reading this, it is the



Examiner's position that Lurenbaum discloses that both soldering and gluing are acceptable forms of attaching the balancing weights to the shaft.

### ***Conclusion***

12. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to ERIN B. SAAD whose telephone number is (571)270-3634. The examiner can normally be reached on Monday through Thursday from 8am-5pm Eastern time.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jessica Ward can be reached on (571) 272-1223. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/E. B. S./  
Examiner, Art Unit 1793  
3/16/2010

/Jessica L. Ward/  
Supervisory Patent Examiner, Art Unit 1793